

Dual-frame optimization for informationally complete quantum measurements



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A scalable dual frame optimization protocol improves the statistical performances of estimators constructed from classical shadows and overcomplete POVMs

Operator averaging with IC-POVMs

A POVM is a set of operators $\{M_k\}$ (effects) with the following properties:

- Positivity $\forall k: \text{Tr}[\rho M_k] \geq 0$, for any ρ
- Normalization $\sum_k M_k = \mathbb{I}$
- Born's Rule $p_k = \text{Tr}[\rho M_k]$

A POVM is said to be **Informationally Complete (IC)** if it spans the space of Hermitian operators.

Expectation value estimation. Given a quantum state ρ prepared by some quantum algorithm, estimate $\langle O \rangle_\rho = \text{Tr}[\rho O]$

Step 1. Decompose

$$O = \sum_k \omega_k M_k \Rightarrow \langle O \rangle_\rho = \sum_k \omega_k p_k$$

Step 2. Sample

$$\hat{o} = \sum_k \frac{\#k}{S} \omega_k \rightarrow \langle O \rangle_\rho \text{ with error } \epsilon \sim \sqrt{\frac{\text{Var}[\omega_k]}{S}}$$

Can be adjusted with the choice of the POVM [1], **BUT** it also depends on the decomposition coefficients ω_k . If the POVM is overcomplete, these are not unique.

Measurement dual frames

Informally, in a finite-dimensional vector space V a **frame** is a (possibly overcomplete) collection $\{v_k\} \subset V$ spanning V . For every frame, there exist at least another collection of vectors $\{\tilde{v}_k\}$, called a **dual frame**, such that for any $v \in V$ we have $v = \sum_k \langle \tilde{v}_k, v \rangle v_k = \sum_k \langle v_k, v \rangle \tilde{v}_k$.

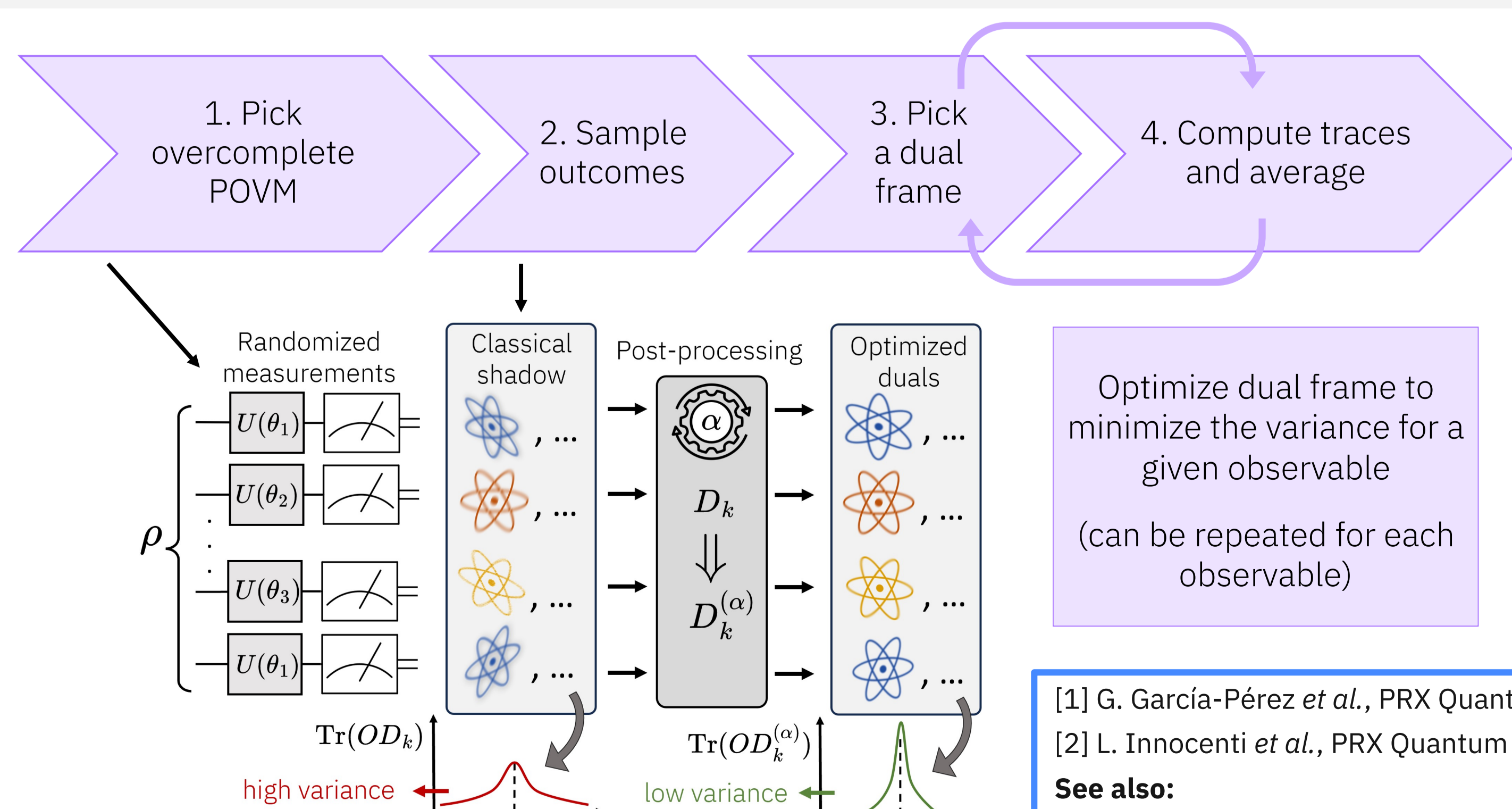
We can think of the elements $\{M_k\}$ of any IC-POVM as a **measurement frame** in the vector space of Hermitian operators of the same dimension [2]. In this case, the duals $\{D_k\}$ represent estimators for quantum states:

$$\rho = \sum_a \langle \mu_a, \rho \rangle \tilde{\mu}_a = \sum_a p_a \tilde{\mu}_a \quad \text{Classical shadows are measurement dual frames!}$$

For an observable O , we can build an estimator via the state estimators, i.e., the POVM duals, as $\bar{o} = \langle O, \tilde{\mu}_a \rangle$. We then have

$$O = \sum_k \langle O, D_k \rangle M_k \rightarrow \langle O \rangle = \sum_k \langle O, D_k \rangle \langle M_k, \rho \rangle = \sum_k \omega_k \text{Tr}[\rho M_k]$$

The duals give us a systematic way to express observables in terms of POVMs



[1] G. García-Pérez *et al.*, PRX Quantum **2**, 040342 (2021)
 [2] L. Innocenti *et al.*, PRX Quantum **4**, 040328 (2023)
See also:
 [3] J. Malmi *et al.*, Phys. Rev. A **109**, 062412 (2024)
 [4] A. Caprotti *et al.*, Phys. Rev. Research **6**, 033301 (2024)

Parametrizing dual frames

A (non-exhaustive) parametrization of dual frames for a POVM $\{M_k\}$ can be constructed from a probability distribution $\{\alpha_k\}$ as:

$$D_k = \frac{1}{\alpha_k} \mathcal{F}_\alpha^{-1}(M_k) \quad \text{for } k = 1, 2, \dots, n$$

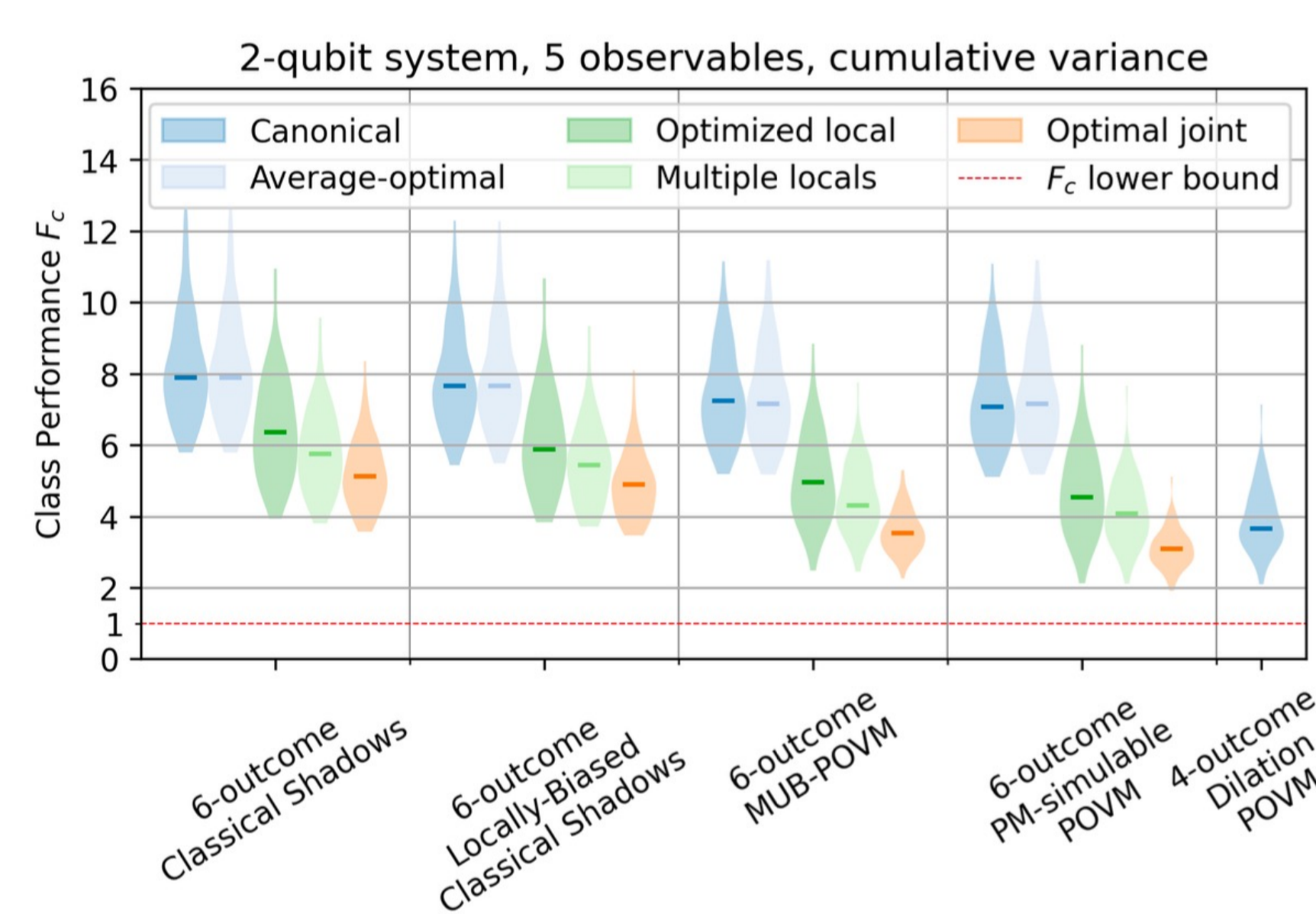
$$\text{where } \mathcal{F}_\alpha : X \mapsto \sum_{k=1}^n \frac{\text{Tr}[X M_k]}{\alpha_k} M_k$$

- $\alpha_k = 1$: canonical dual frame
- $\alpha_k = \text{Tr}[\rho M_k]$: optimal dual frame given the state ρ [2]
- $\alpha_k = \frac{\text{Tr}[M_k]}{d}$: average optimal dual frame (unknown state)

This is the one that most classical shadows protocol use

Dual frames in action. For random states and observables, optimize $\{\alpha_k\}$ for the best possible single-shot variance

$$F = \underset{\mathbf{M}, \mathbf{D}}{\text{argmin}} \text{SSV}(\mathbf{M}, \mathbf{D}, O, \rho) = \underset{\mathbf{M}, \mathbf{D}}{\text{argmin}} \sum_k \text{Tr}[\rho M_k] \text{Tr}[O D_k]^2 - \text{const.}$$



- Canonical: $\alpha_k = 1$
- Average-optimal: $\alpha_k = \frac{\text{Tr}[M_k]}{d}$
- Optimized local: α_k optimized as 1-qubit product distribution
- Optimal joint: α_k optimized as global distribution

Common approach for N qubits: use product of 1-qubit POVMs:

$$M_{\mathbf{k}} = M_{k_1 k_2 \dots k_N} = M_{k_1} \otimes M_{k_2} \otimes \dots \otimes M_{k_N}$$

Problem. This does not guarantee a product structure on the duals, which can in general become exponentially expensive to manipulate. Notably, this is the case for the optimal dual frame given ρ . Moreover, Explicit optimization of the dual frame can be cumbersome – especially for adaptive POVMs.

How do we construct efficient and effective duals?

Dual frames from empirical frequencies

Idea: converge to the optimal dual frame $\alpha_k = p_k$ by estimating p_k as frequencies f_k from the actual measurements.

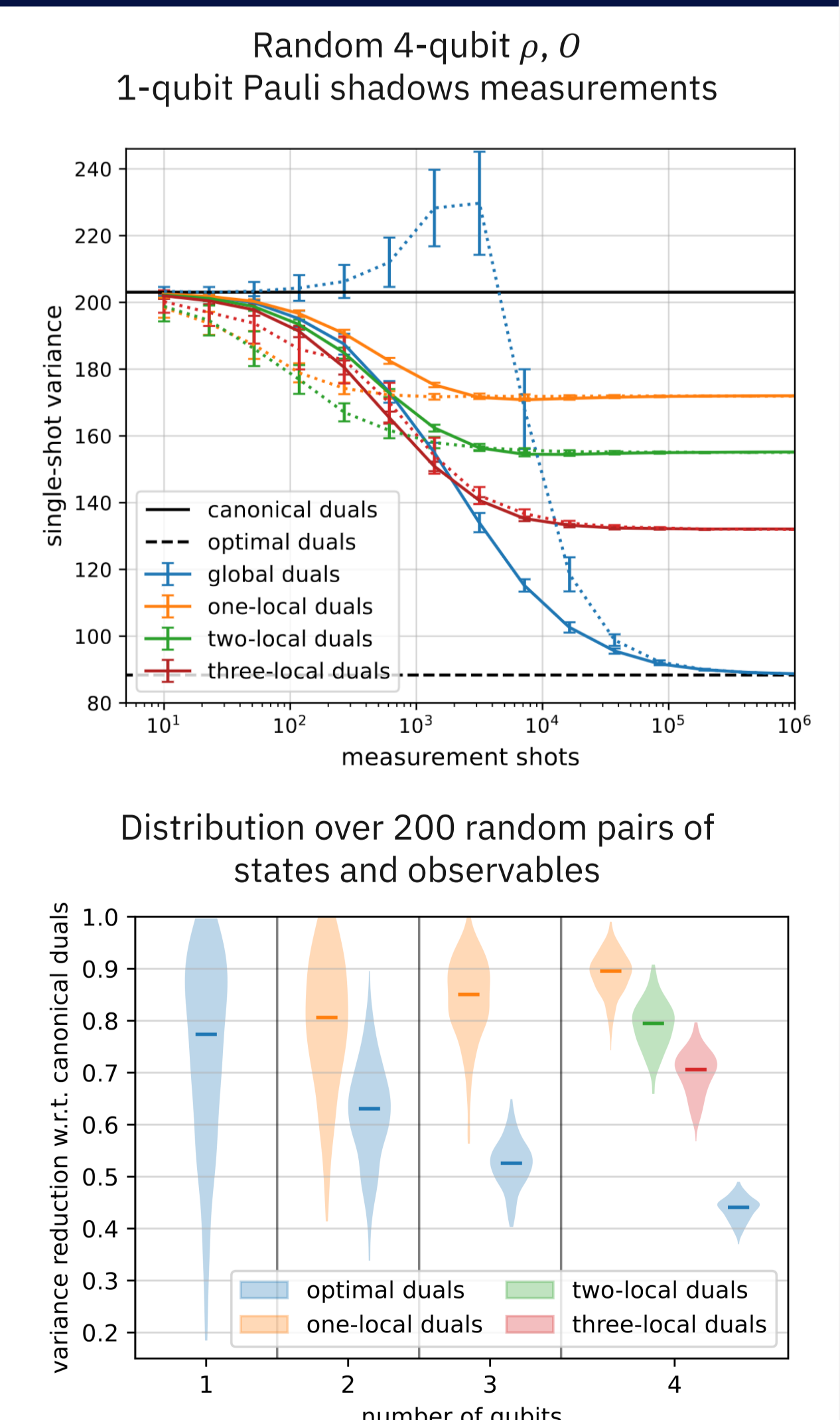
$$|D_k\rangle\rangle = \frac{1}{f_k} \mathcal{F}^{-1}[M_k] \text{ where } \mathcal{F} = \sum_k \frac{1}{f_k} |M_k\rangle\rangle\langle\langle M_k|$$

$$f_k(\{k^{(1)}, \dots, k^{(S)}\}, S_{\text{bias}}) = \frac{\#k + \text{Tr}[\frac{1}{d} \mathbb{I} M_k]}{S + S_{\text{bias}}}$$

- Restrict correlations in α_k by making it a product of m -local distributions, leading to m -local duals
- Maximize the mutual information of the POVM outcomes between qubits in the same subset.

$$\tilde{f}_k^\Lambda = \prod_{i=1}^l \tilde{f}_{\lambda_i} \quad \tilde{f}_{\lambda_i} = \sum_{\{k_j\}, j \notin \lambda_i} f_{k_1, \dots, k_N}$$

$$I(i, j) = \sum_{k_i, k_j} \tilde{f}_{\{i, j\}} \log \left(\frac{\tilde{f}_{\{i, j\}}}{\tilde{f}_{\{i\}} \tilde{f}_{\{j\}}} \right) \quad C(\Lambda) = \sum_{\lambda_i \in \Lambda} \sum_{\substack{j, k \in \lambda_i \\ j \neq k}} I(j, k)$$



Try this at home!
Check out our Qiskit
POVM toolbox

<https://qiskit-community.github.io/povm-toolbox/>

